

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Lichtenhan, et al.
 Appln. No.: 09 | 631,892
 Series Code ↑ | Serial No. ↑

Filed: August 4, 2000
 Commissioner of Patents
 Washington, D.C. 20231

Group Art Unit Unknown
 Examiner: Unknown
 Atty. Dkt. P 0282005
 M# Client Ref
 Appln. Title: PROCESS FOR THE FORMATION OF
 POLYHEDRAL OLIGOMERIC
 SILSESQUIOXANES



Sir:

PRELIMINARY AMENDMENT

JUL 24 2001

ENTER 1600/2900

This is a preliminary amendment in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim							
A. <input type="checkbox"/> NOT made	For B & C						
B. <input type="checkbox"/> Withdrawn	See Required						
C. <input type="checkbox"/> made herewith	Separate Paper						
D. <input checked="" type="checkbox"/> made previously	(Pat-256)						
Claims remaining after amendment	Highest number previously paid for	Present Extra		Large/Small Entity		Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	126	**minus	20	106	x \$18/\$9 =	+ \$954	103/203
3. Independent Claims	8	***minus	7	1	x \$80/\$40 =	+ \$40	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)		add		+ \$270/\$135 =	+ \$0		104/204
5. Original due Date:	<input checked="" type="checkbox"/> NONE						
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =					115/215
	(2 mos)	\$390/\$195 =	+ \$0				116/216
	(3 mos)	\$890/\$445 =					117/217
	(Usable only for ≤ 2mo.OA --- 4 mos)	\$1390/\$695=					118/218
	(Usable only for 30 day/1mo.OA --- 5 mos)	\$1890/\$945=					128/228
7. Enter any previous extension fee paid since above original due date and subtract		- \$0					
8.	Extension Fee Attached					+ \$0	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee		+ \$110/\$55	+ \$0	148/248			
10. If IDS attached requires Official Fee under Rule 97 (c),	add	+ \$180	+ \$0	126			
or if Rule 97(d) Request	add	+ \$180	+ \$0	126			
11. After-Final Request Fee per rules 129(a) and 17(r)		+ \$710/355	+ \$0	146/246			
12. No. of additional inventions for examination per Rule 129(b).....		x \$710/355 ea	+ \$0	149/249			
13. Request for Continued Examination (RCE)		+ \$710/355	+ \$0	1179/1279			
14. Petition fee for		+ \$0					
15.	TOTAL FEE =					\$994	

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Please charge the fee due of \$994.00 to our Deposit

Our Deposit Account No. 03-3975

Account. A duplicate copy of this sheet is enclosed

(Our Order No. 038559 | 0282005

C# M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Pillsbury Winthrop LLP
 Intellectual Property Group
 By Atty: Karry W. Wang

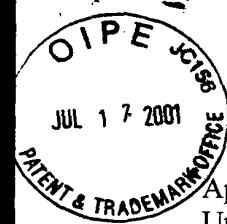
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 Tel: (415) 983-1000
 Atty/Sec: KWW/PDG

Sig:

Reg. No. Limited Recognition
 Under 37 CFR
 §10.9(b)

Fax: (415) 983-1200
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#5/A
7/21/01
NWIN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant: LICHTENHAN, et al.
Unknown

Examiner:

Serial No. 09/631,892
Unassigned

Art Unit:

Filed: August 4, 2000

RECEIVED

JUL 24 2001

Title: PROCESS FOR THE FORMATION OF
POLYHEDRAL OLIGOMERIC SILSESQUIOXANES

TECH CENTER 1600/2900

* * * * *

PRELIMINARY AMENDMENTBOX NON-FEE AMENDMENT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, kindly amend the above-identified application as follows.

07/19/2001 TGEDAHU1 00000144 033975 09631892

01 FC:202 40.00 CH
02 FC:203 954.00 CHIN THE SPECIFICATIONPage 2, line 28, change “[$(RSiO_{1.5})_m(RSiO_{1.5})_n$] $\Sigma^{\#}$ ” to -[$(RSiO_{1.5})_m(R'SiO_{1.5})_n$] $\Sigma^{\#}$ -.

A1

Page 2, line 28, change “[$(RSiO_{1.5})_m(RXSiO_{1.0})_n$] $\Sigma^{\#}$ ” to --

A2

[$(RSiO_{1.5})_m(R'SiO_{1.5})_n(RXSiO_{1.0})_p$] $\Sigma^{\#}$ --.Page 3, line 9, change “[$(RSiO_{1.5})_m(RSiO_{1.5})_n$] $\Sigma^{\#}$ ” to -[$(RSiO_{1.5})_m(R'SiO_{1.5})_n$] $\Sigma^{\#}$ --.

A3

Page 3, line 10, change “heteroleptic” to --homoleptic-- and insert a new line --

A4

[$(RSiO_{1.5})_m(R'SiO_{1.5})_n(RXSiO_{1.0})_p$] $\Sigma^{\#}$ for functionalized heteroleptic compositions-- after
“compositions”.

AS

Page 3, line 11, change “[$(XSiO_{1.5})$] $\Sigma^{\#}$ ” to --[$(XSiO_{1.5})_n$] $\Sigma^{\#}$ --.

A5

Page 5, line 5, change “[$(RSiO_{1.5})_m(RSiO_{1.5})_n$] $\Sigma^{\#}$ ” to -[$(RSiO_{1.5})_m(R'SiO_{1.5})_n$] $\Sigma^{\#}$ --.